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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

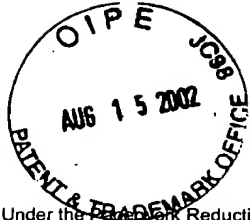
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|   |   |   |                  |
|---|---|---|------------------|
| <b>TRANSMITTAL FORM</b><br><br>(to be used for all correspondence after initial filing) |   | Application Number  | 09/708,450       |
|   |   | Filing Date   | November 9, 2000 |
|   |   | First Named Inventor  | Nakamura et al.  |
|   |   | Group Art Unit  | 2811             |
|   |   | Examiner Name   | Douglas W. Owens |
| Total Number of Pages in This Submission  | <<>>  | Attorney Docket Number  | 501.39149X00     |
| <b>ENCLOSURES (check all that apply)</b>  |   |   |                  |
| <input checked="" type="checkbox"/> Fee Transmittal Form                                | <input type="checkbox"/> Assignment Papers (for an Application)                         | <input type="checkbox"/> After Allowance Communication to Group   |                  |
| <input checked="" type="checkbox"/> Fee Attached  | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences                         |                  |
| <input checked="" type="checkbox"/> Amendment / Reply                                   | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)                  |                  |
| <input type="checkbox"/> After Final  | <input type="checkbox"/> Petition   | <input type="checkbox"/> Proprietary Information  |                  |
| <input type="checkbox"/> Affidavits/declaration(s)                                      | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Status Letter  |                  |
| <input checked="" type="checkbox"/> Extension of Time Request                           | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>Credit Card Payment Form |                  |
| <input type="checkbox"/> Express Abandonment Request                                    | <input type="checkbox"/> Terminal Disclaimer  |   |                  |
| <input type="checkbox"/> Information Disclosure Statement                               | <input type="checkbox"/> Request for Refund   |   |                  |
| <input type="checkbox"/> Certified Copy of Priority Documents                           | <input type="checkbox"/> CD, Number of CD(s) <<TEXT>>                                   |   |                  |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application               | Remarks   |   |                  |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53            |   |   |                  |
| <b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>                                       |   |   |                  |
| Firm or Individual Name   | DAVID C. OREN, REG. NO. 38,694  |   |                  |
| Signature   |   |   |                  |
| Date  | AUGUST 15, 2002   |   |                  |

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| <b>CERTIFICATE OF MAILING</b>   |      |
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: August 14, 2002. |      |
| Typed or printed name   |      |
| Signature   | Date |

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

## Complete if Known

|                      |                  |
|----------------------|------------------|
| Application Number   | 09/708,450       |
| Filing Date          | November 9, 2000 |
| First Named Inventor | Nakamura et al.  |
| Examiner Name        | Douglas W. Owens |
| Group Art Unit       | 2811             |
| Attorney Docket No.  | 501.39149X00     |

TOTAL AMOUNT OF PAYMENT (\$ ) 400.00

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit overpayments to:  
Deposit Account Number 01-2135  
Deposit Account Name ATS&K LLP  
☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant Claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:  
☐ Check ☒ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

### 2. BASIC FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        | Fee Paid |
|----------------|-----------------|----------------|-----------------|------------------------|----------|
| 101            | 740             | 201            | 370             | Utility filing fee     | \$0.00   |
| 106            | 330             | 206            | 165             | Design filing fee      |          |
| 107            | 510             | 207            | 255             | Plant filing fee       |          |
| 108            | 740             | 208            | 370             | Reissue filing fee     |          |
| 114            | 160             | 214            | 80              | Provisional filing fee |          |
| SUBTOTAL (1)   |                 |                |                 |                        | \$0.00   |

### 1. EXTRA CLAIM FEES

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| -20                | = x          | \$18.00=\$0.00 |          |
| Indep. Claims -3   | = x          | \$84.00=\$0.00 |          |
| Multiple Dependent | =            |                |          |

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  |
|----------------|-----------------|----------------|-----------------|--|
| 103            | 18              | 203            | 9               | Claims in excess of 20                                     |
| 102            | 84              | 202            | 42              | Independent claims in excess of 3                          |
| 104            | 280             | 204            | 140             | Multiple dependent claim, if not paid                      |
| 109            | 84              | 209            | 42              | ** Reissue independent claims over original patent         |
| 110            | 18              | 210            | 9               | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) \$0.00

\*\*or number previously paid, if greater; For Reissues, see above.

### 3. ADDITIONAL FEES

| Fee Code | Large Entity Fee (\$) | Fee Code | Small Entity Fee (\$) | Fee Description  | Fee Paid |
|----------|-----------------------|----------|-----------------------|--|----------|
| 105      | 130                   | 205      | 65                    | Surcharge - late filing fee or oath  |          |
| 127      | 50                    | 227      | 25                    | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139      | 130                   | 139      | 130                   | Non-English specification  |          |
| 147      | 2,520                 | 147      | 2,520                 | For filing a request for ex parte reexamination                            |          |
| 112      | 920*                  | 112      | 920*                  | Requesting publication of SIR prior to Examination action                  |          |
| 113      | 1,840*                | 113      | 1,840*                | Requesting publication of SIR after Examiner action                        |          |
| 115      | 110                   | 215      | 55                    | Extension for reply within first month                                     |          |
| 116      | 400                   | 216      | 200                   | Extension for reply within second month                                    | \$400.00 |
| 117      | 920                   | 217      | 460                   | Extension for reply within third month                                     |          |
| 118      | 1,440                 | 218      | 720                   | Extension for reply within fourth month                                    |          |
| 128      | 1,960                 | 228      | 980                   | Extension for reply within fifth month                                     |          |
| 119      | 320                   | 219      | 160                   | Notice of Appeal   |          |
| 120      | 320                   | 220      | 160                   | Filing a brief in support of an appeal                                     |          |
| 121      | 280                   | 221      | 140                   | Request for oral hearing   |          |
| 138      | 1,510                 | 138      | 1,510                 | Petition to institute a public use proceeding                              |          |
| 140      | 110                   | 240      | 55                    | Petition to revive - unavoidable   |          |
| 141      | 1,280                 | 241      | 640                   | Petition to revive - unintentional   |          |
| 142      | 1,280                 | 242      | 640                   | Utility issue fee (or reissue)   |          |
| 143      | 460                   | 243      | 230                   | Design issue fee   |          |
| 144      | 620                   | 244      | 310                   | Plant issue fee  |          |
| 122      | 130                   | 122      | 130                   | Petitions to the Commissioner  |          |
| 123      | 50                    | 123      | 50                    | Processing fee under 37 CFR 1.173  |          |
| 126      | 180                   | 126      | 180                   | Submission of Information Disclosure Stmt                                  |          |
| 581      | 40                    | 581      | 40                    | Recording each patent assignment per property (times number of properties) |          |
| 146      | 740                   | 246      | 370                   | For each additional invention to be examined (37 CFR § 1.129(a))           |          |
| 149      | 740                   | 249      | 370                   | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179      | 740                   | 279      | 370                   | Request for Continued Examination (RCE)                                    |          |
| 169      | 900                   | 169      | 900                   | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) <<400.00>>

## SUBMITTED BY

## Complete (if applicable)

|                   |               |                  |                 |           |              |
|-------------------|---------------|------------------|-----------------|-----------|--------------|
| Name (Print/Type) | DAVID C. OREN | Registration No. | 38,694          | Telephone | 703-312-6600 |
| Signature         |               | Date             | August 15, 2002 |           |              |

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